Original Article

Annular Atrioventricular Valve Area Dynamics in Patients with Hypoplastic Left Heart Syndrome and Right Atrial Isomerism: Insights Using Speckle-Tracking of the Atrioventricular Valve Annulus

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Background: Atrioventricular valve regurgitation (AVVR) has a notable impact on the prognosis of patients with hypoplastic left heart syndrome (HLHS) and right atrial isomerism (RAI).

Methods: The study population comprised two groups: (1) HLHS and RAI group: n = 32 (HLHS = 15, RAI = 17), and (2) normal control group: n = 53. X-plane images of apical four-chamber view and orthogonal plane cutting through the center of annulus were acquired using transthoracic matrix array probe. Speckle-tracking of the two opposing points on the annulus in four-chamber plane and orthogonal plane was performed, and the distances of respective opposing points were consecutively measured along the cardiac cycle. Atrioventricular valve (AVV) area dynamics were classified into three categories according to the areal change pattern during systole in the normal group: Type-1: area decreases during systole; Type-2: area increases during systole; and Type-3: no significant areal change. The HLHS and RAI groups were subdivided into two subgroups according to the grade of AVVR: low-grade AVVR and high-grade AVVR, and the annular dynamics were compared between the groups. **Results**: Normal group was classified into the subgroups as follows: Type-1=22 (42%); Type-2=24 (45%); and Type-3=7 (13%). HLHS and RAI group was classified into the following subgroups: Type-1=4 (13%), Type-2=7 (24%), and Type-3=21 (65%). Type-3 was predominant in the HLHS and RAI groups (p < 0.01). The subdivided groups were similarly classified into low-grade AVVR group (n = 16) and high-grade AVVR group (n = 16). Type-3 was predominant in the high-grade AVVR group (p < 0.01).

Conclusions: In patients with single ventricle associated with tricuspid valve or common AVV, significant valve incompetence was observed in those with reduced annular dynamics, suggesting the importance of annular function in the patients.

Keywords: tricuspid valve, tricuspid valve regurgitation, hypoplastic left heart syndrome, right atrial isomerism

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Background

Hypoplastic left heart syndrome (HLHS) and right atrial isomerism (RAI) are congenital heart diseases with particularly poor prognoses.^{1, 2)} The circulatory dynamics of these diseases affect child development, starting in the fetal stage, and can be fatal if appropriate postnatal treatment is not provided.^{3, 4)} Atrioventricular regurgitation (AVVR) is a factor that is strongly associated with the prognosis of these diseases. In cases of severe regurgitation, it is not uncommon for the atrioventricular valve to require surgical intervention in the neonatal stage.^{5–7).}

In adults, the use of 3D echocardiography has advanced our understanding of the anatomy and functions of the tricuspid valve. Recently, analyses focusing on the tricuspid annulus have attracted attention. However, little research has been conducted on congenital heart diseases, especially on the tricuspid and common atrioventricular valves in the univentricular circulation,^{8–12)} and methods for evaluating annulus function at the clinical level have not been established.

In this study, we performed X-plane tissue tracking using a transthoracic 3D probe to evaluate the function of the tricuspid annulus and analyzed changes in the area of the tricuspid annulus during the cardiac cycle.

Methods

Subjects

There were 32 patients in the HLHS and RAI group (15 HLHS, 17 RAI). Their median age was 3.0 years (0.1–18.1 years) and they included 13 boys. No distinction was made based on whether they had undergone cardiovascular surgery. There were 53 patients in the normal group. Their median age was 8.7 years (6.7–12.2 years) and they included 29 boys. The patients in the normal group were those who had no abnormal findings in echocardiography examinations for school-age children performed at Shizuoka Children's Hospital in 2013–2014.

Methods

Equipment: PHILIPS IE-33 (Philips Medical Systems, Best, The Netherlands), 3D matrix probe (X-7, X-5). Recording: The transthoracic 3D matrix probe was used to depict an apical 4-chamber view. Next, the tricuspid or common atrioventricular annulus was depicted in

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the center of the echocardiography screen, without leaving out the margins, and used to record the X-plane (4-chamber view and orthogonal view). During recording, the patients were placed in a lateral recumbent position, appropriate for an apical cardiac view and asked to hold their breath as much as possible.

Analysis: Tissue motion annular displacement, a function of QLAB 9.0 (Philips Medical Systems, Best, The Netherlands), was used to measure the diameter of the apical 4-chamber view in the septolateral direction (A) and the diameter orthogonal to this in the anteroposterior direction (B) by tracking the annulus during 1 cardiac cycle (Fig. 1). Assuming the annulus to be elliptical, we calculated its area using the 2 diameters with the following formula: annulus area = π AB/4. This was measured at each phase of the cardiac cycle to analyze the change in the tricuspid annulus area between phases. For the comparisons, we used values normalized to body surface area (annulus area / body surface area).

The changes in the tricuspid annulus and the common atrioventricular annulus area between phases were not uniform. They were classified into 3 types based on their pattern: decreased during systole (Type-1), increased during systole (Type-2), and no significant change (Type-3). For Type-3, no significant areal change was defined as a change of $\leq 10\%$.

Patients in the HLHS and RAI group were classified based on the grade of regurgitation into a low-grade AVVR group of cases with mild or lower regurgitation and a high-grade AVVR group of cases with moderate or higher regurgitation; comparisons were made between the groups. To investigate the relationship between the annulus movement pattern and valve function prognosis in the HLHS and RAI group, we examined changes in regurgitation after the analysis and whether valves underwent surgical interventions. In the prognosis analysis, the endpoint in the low-grade group was when regurgitation worsened to moderate or higher and the endpoint in the high-grade group was when a surgical intervention (valvuloplasty or valve replacement) was required.

The *t*-test and Fisher's exact test were used to examine differences between the groups, and the log-rank test was used to examine the prognosis of valve function. A p < 0.05 was considered statistically significant.





2D TTE X-plane image of normal tricuspid valve: upper two lines are AP diameter, lower two lines are SL diameter. Lines show diameter change during one cardiac cycle. AP: Anteroposterior, SL: Septolateral.

Results

In the normal group, there were 22 (42%), 24 (45%), and 7(13%) cases of Type-1, Type-2, and Type-3, respectively, while in the HLHS and RAI group, there were 4 (13%), 7 (22%), and 21 (65%) cases of Type-1, Type-2, and Type-3, respectively. Type-3 cases were significantly more common in the HLHS and RAI group than in the normal group (p<0.01) (Fig. 2).

The maximum areal changes based on the end-diastolic annulus area were as follows: normal group: Type-1-24%, Type-2+31%, Type-3+9%; HLHS and RAI group: Type-1-25%, Type-2+28%, Type-3+2% (Fig. 3). The rates of change in septolateral diameter were as follows: normal group: Type-1-16%, Type-2 +19%, Type-3+11%; HLHS and RAI group: Type-1 +2%, Type-2+8%, Type-3+4% (Fig. 4). The rates of change in anteroposterior diameter were as follows: normal group: Type-1-15%, Type-2+19%, Type-3+4%; HLHS and RAI group: Type-1-19%, Type-2+16%, Type-3+1% (Fig. 5). The rate of septolateral diameter



Fig. 2 Tricuspid valve dynamics: Type TV dynamics Type classification: Normal control vs. HLHS/RAI group. Type-1: area decreased in systole, Type-2: area increased in systole, Type-3: no significant change in systole. Type-3 was predominant in HLHS/RAI group.

decrease during systole was smaller in the HLHS and RAI group, not only for Type-3 cases, but also for Type-1 and Type-2 cases.

The low and high grade AVVR groups each consisted of 16 cases. The low-grade group had 4 (25%), 5 (31%), and 7 (43%) cases of Type-1, Type-2, and Type 3,



Fig. 3 Tricuspid valve dynamics: Area

Tricuspid valve area during systole. Points represent mean values and vertical bars represent the 95% confidence interval of the mean. ED: end diastole, ES: end systole.



Fig. 4 Tricuspid valve dynamics: Septolateral diameter SL diameter of the tricuspid annulus during systole. In the HLHS/RAI group, SL diameter change decreased in Type-1, -2, and -3.

respectively; the high-grade group had 0, 2 (12%), and 14 (88%) of Type-1, Type-2, and Type-3, respectively, showing Type-3 to be more common in the high-grade group (p<0.01) (Fig. 6). Table 1 shows a comparison of the tricuspid annulus areas for each type at end-diastole and end-systole in the normal, low-grade regurgitation, and high-grade regurgitation groups. The annulus areas were larger in the low-grade and high-grade AVVR groups than in the normal group. The annulus area did not differ significantly between the low- and high-grade

groups (p = 0.28).

Fig. 7 shows the results of the analysis of the regurgitation prognosis in the HLHS and RAI group. Worsening regurgitation was observed in 6 patients (2 cases Type-2, 4 cases Type-3). Fifteen patients required surgical interventions, with most being Type-3 (1 case Type-1, 2 cases Type-2, 12 cases Type-3). The Kaplan–Meier method indicated that the regurgitation prognosis did not differ significantly between the types (p=0.23).

Fig. 5 TV dynamics: Anteroposterior diameter Anteroposterior diameter of the tricuspid annulus during systole. AP diameter showed no significant change between the HLHS/RAI group and normal controls. Points represent mean values and vertical bars represent the 95% confidence interval of the mean. ED: end diastole, ES: end systole.

Fig. 6 TV dynamics and TR grade in HLHS/RAI group TV dynamics Type classification: High-grade TR group vs. Low-grade TR group. Type-3 was predominant in the high-grade TR group.

	Table	1	TV area	comparison
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	Type 1		Type 2		Туре З	
	ED	ES	ED	ES	ED	ES
Normal Low-grade TR High-grade TR	4.20 (±0.90) 11.3 (±5.69) no	3.59 (±0.96) 9.20 (±4.67) ne	3.49 (±0.76) 8.54 (±2.13) 6.20 (±0.57)	4.61 (±0.90) 9.90 (±2.40) 6.75 (±0.35)	3.52 (±0.79) 7.46 (±2.70) 9.79 (±5.10)	3.76 (±1.06) 7.92 (±2.75) 9.90 (±5.30)

Unit: cm², ED: end diastole, ES: end systole.

Discussion

The tricuspid valve is a complex composed of an annulus and septal, anterior, and posterior leaflets, which are connected via chordae tendineae and papillary muscles. Each of these structural components moves together in close precision. The functions of the annulus are to maintain an appropriate diameter and area during the changes caused by myocardial expansion and contraction, and coordinate the movement of

Fig. 8 AVV Interaction: Septolateral diameter Typical case with AVV interaction: Septolateral Diameter. Upper bars show ratio of MV and TV to total cardiac cavity diameter. MV increases in systole and TV is compressed by the MV annulus.

Fig. 9 AVV Interaction: Normal and HLHS Because of the lack of AVV interaction, the TV annulus in HLHS/RAI becomes round and enlarged, and shows decreased contraction.

the leaflets, chordae tendineae, and papillary muscles to smoothly draw blood into the right ventricle and suppress backflow during ejection. In the present study, we analyzed the changes in the annulus area between phases of the cardiac cycle to evaluate the function of the tricuspid annulus.

We found that the area of the tricuspid annulus did not change uniformly; however, it could be classified into 3 types, based on the pattern of change. Studies using 3D echocardiography have found that the annulus area decreases during systole in normal tricuspid valves.^{13–15)} To compensate for the coaptation of the septal leaflet, which has little mobility, the decrease in septolateral diameter during systole was considered to be particularly important to maintaining valve function.¹⁶⁾ However, in our study, there were Type-2 and Type-3 cases in the normal group. Type-2, in particular, showed an increase in diameter in the septolateral direction during systole, which differs from the conventional understanding of tricuspid annulus function. Some cases of this type exhibited increased anteroposterior diameter as well. In this state, excess leaflet area appears to be necessary to suppress regurgitation.

Interaction with the mitral valve is a factor that strongly influences changes in the tricuspid annulus area. In a normal heart, the septolateral diameter of the tricuspid annulus decreases during systole due to interaction with the mitral valve (Fig. 8). The diversity of changes in the annulus area are thought to arise from the degree of this interaction and differences in the change in the anteroposterior diameter. In the HLHS and RAI group, in contrast, this interaction is absent or weakened, resulting in less change in the tricuspid annulus area. In the present study, reduced rates of changes in septolateral diameter were observed, not only in Type-3 cases in the HLHS and RAI group, but also in Type-1 and Type-2 cases, suggesting a relationship between mitral valve interaction and tricuspid annulus function.

In the HLHS and RAI group, the annulus expanded to something close to a perfect circle (Fig. 9). This reduced the coaptation height between the leaflets, which worsened tricuspid regurgitation. Furthermore, volume loading from tricuspid regurgitation increases wall stress at the basal level, where the inner diameter is large. This decreases myocardial contraction at the basal level and further expands the tricuspid annulus, creating a negative spiral. The large number of Type-3 cases in the high-grade AVVR group and the high rates of worsening regurgitation and surgical interventions among Type-2 and Type-3 cases suggest a close relationship between reduced tricuspid annulus function and the severity of valvular regurgitation.

Analyses of tricuspid annulus function have been conducted with transesophageal 3D echocardiography, mainly in adults with right heart failure.^{13–15)} However, performing transesophageal echocardiography on children requires general anesthesia, significantly hindering the application of this method, with even greater difficulties in infants. The above demonstrates the desirability of establishing a different evaluation method, but the challenge lies in creating something that is noninvasive, versatile, and has enough time resolution to be used with the rapid heart rates of children. Our method of analysis uses a transthoracic 3D probe and is noninvasive. Further, the median frame rate obtained with the transthoracic 3D probe in our study was 38 fps (24–65 fps), which is a sufficient degree of temporal resolution.

One advantage of this analysis method is that recordings are easy. The apical 4-chamber view needs to be depicted accurately and the tricuspid annulus needs to be kept within the depiction range, but this does not require any special skills. The feasibility of the analysis is affected by the range of tricuspid annulus depiction and image quality. In the present study, we obtained images that could be analyzed in 71% of the normal group (53/75 cases). As these recordings were made during health checks, in some cases, the tricuspid annulus was poorly depicted. We believe the analysis rate would be higher if recordings were focused on the tricuspid annulus. Another advantage is that the tracking data can be uploaded to a spreadsheet program where area calculations can be performed easily.

Our analysis method has some limitations. While we calculated the area using 2 orthogonal views of the tricuspid annulus, ideally the views should intersect at the center of the tricuspid annulus. In the analysis, we selected images depicting intersection points that were in the center of the annulus; however, there is no objective index for determining whether an intersection point is in the center of the annulus, and thus deviation of the intersection points may have affected the analysis. Further, while we assumed the annulus to be an ellipse on a single plane, in reality, changes in the tricuspid

on a single plane, in reality, changes in the tricuspid valve do not occur on the same plane, but in a 3D saddle shape. A difference between the assumed shape and the actual shape/change is a problem with this method. In addition, the age difference between the HLHS and RAI group and the normal group may also have influenced the results. To demonstrate the usefulness of this method more clearly, we would need to compare 3D echocardiography, CT, and MRI results in a more uniform population.^{17, 18)}

Conclusion

The HLHS and RAI group exhibited reduced atrioventricular annulus function, which was part of the mechanism causing AVVR. Our method enables the evaluation of tricuspid annulus function in a simple, minimally invasive manner and may be useful for deepening our understanding of the pathology behind tricuspid regurgitation.

Conflicts of Interest

The authors have no conflicts of interest to disclose in relation to this paper.

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